In keeping with common practice in the field of derivatives law, all references herein to the Commodity Exchange Act are to the named statute, not to the United States Code. The sole exception is for cases and materials that cite to the United States Code. These citations have been retained. For the reader’s convenience, a Commodity Exchange Act-United States Code conversion table is included herein.

Throughout, some footnotes and citations have been omitted from cases and materials.

Part of the regulatory framework for derivatives is the regulation of security-based swaps by the Securities and Exchange Commission. Though the jurisdiction of the Securities and Exchange Commission over these products is discussed in Chapter 3, at the time of writing the implementation of this regulatory framework by the SEC had still not occurred and, therefore, it is not otherwise covered herein.

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